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| Substitute for form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> | ATTORNEY'S DKT NO.<br>032751-058   | APPLICATION NO.<br>09/865,553 |
|   | APPLICANT<br>Karola RITTNER et al. |                               |
|   | FILING DATE<br>May 29, 2001        | GROUP<br>1633                 |
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| U.S. PATENT DOCUMENTS |                      |                         |  |                                     |
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| Examiner<br>Initials  | U.S. Patent Document |                         | Name of Patentee or Applicant<br>of Cited Document | Date of Publication<br>(MM-DD-YYYY) |
|                       | Number               | Kind Code<br>(if known) |  |                                     |
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| Examiner<br>Initials     | Foreign Patent Document |                         | Country | Date of Publication<br>(MM-DD-YYYY) | Translation |    |
|                          | Number                  | Kind Code<br>(if known) |         |                                     | Yes         | no |
| TS                       | WO 96/40958             | ✓                       | PCT     | 12/19/1996                          |             |    |
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| NON PATENT LITERATURE DOCUMENTS |   |  |                               |
|---------------------------------|---|--|-------------------------------|
| Examiner<br>Initials            | Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |  |                               |
| TS ✓                            | S. Gottschalk et al., <i>Gene Therapy</i> , Vol. 3, pp. 448-457 (1996)  |  |                               |
| TS ✓                            | T.B. Wyman et al., <i>Biochemistry</i> , Vol. 36, pp. 3008-3017 (1997)  |  |                               |
| TS ✓                            | Database Medline (8/20/1999), Wagner Ernst, "Application of Membrane-Active Peptides for Nonviral Gene Delivery," Database No. 10837761 XP002180094 <i>Abstract only</i>  |  |                               |
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| Examiner<br>Signature           | Teresa Stneledia  |  | Date<br>Considered<br>9/11/03 |

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